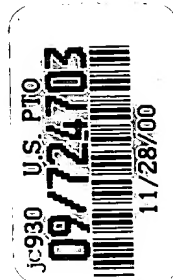


1
2 Date: 11/28/00

By: 

Allan T. Sponseller
Reg. No. 38,318



3
4
5 **CERTIFICATE OF MAILING**

6 I hereby certify that the items listed above as enclosed are being deposited with the
7 U.S. Postal Service as either first class mail, or Express Mail if the blank for Express
8 Mail No. is completed below, in an envelope addressed to The Commissioner of Patents
and Trademarks, Washington, D.C. 20231, on the below-indicated date. Any Express
Mail No. has also been marked on the listed items.

9 **Express Mail No. (if applicable)** EL685270532

10
11 Date: 11-28-00

By: 

Lori A. Vierra

11-30-00

A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Priority Application Serial No.09/040,813
Priority Filing Date3/18/1998
Inventor.....Misra et al.
Applicant Microsoft Corporation
Priority Group Art Unit.....2786
Priority Examiner Elmore, R
Attorney's Docket No.MS1-197USC1
Title: System and Method for Software Licensing

CONTINUATION PATENT APPLICATION TRANSMITTAL

To: Commissioner of Patents and Trademarks,
Washington, D.C. 20231

From: Allan T. Sponseller (Tel. 509-324-9256; Fax 509-323-8979)
Lee & Hayes, PLLC
421 W. Riverside Avenue, Suite 500
Spokane, WA 99201

The following enumerated items accompany this transmittal letter and are being submitted for the matter identified in the above caption.

1. Transmittal Letter with Certificate of Mailing included.
2. Fee Transmittal
3. Check in the Amount of \$2434.00
4. PTO Return Postcard Receipt
5. Request for Continuation Application Under 37 C.F.R. 1.53 (b)
6. True copy of the prior application as filed including the specification (57 pages, claims 1-78 & Abstract)
7. 8 Sheets Formal Drawings (Figs. 1-8)
8. Copy of Executed Declaration
9. Preliminary Amendment
10. Information Disclosure Statement, including form PTO-1449
11. Request to Approve Drawing Changes including photocopy of Fig. 3 with proposed changes in red
12. Copy of Assignment

Large Entity Status ☒ [x]

Small Entity Status ☐ []

The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit Account No. 12-0769 in connection with any patent application processing fees under 37 CFR 1.17; and any additional filing fees under 37 CFR 1.16 for the presentation of extra claims.

EL6850532

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL
for FY 2001**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT(\$)2434.00**Complete if Known**

Application Number

Filing Date

First Named Inventor

MSra

Examiner Name

Group Art Unit

Attorney Docket No.

MSI-191USC1

METHOD OF PAYMENT

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number12-0769Deposit
Account
NameLee & Hayes, PLLC

- ☐
- Charge Any Additional Fee Required
-
- Under 37 CFR 1.16 and 1.17

- ☐
- Applicant claims small entity status.
-
- See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:**

- ☒
- Check
- ☐
- Credit card
- ☐
- Money
-
- Order
- ☐
- Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Small Entity

Fee Fee Fee Fee Fee Description
Code (\$) Code (\$)

| | | | | | |
|-----|-----|-----|-----|------------------------|--|
| 101 | 710 | 201 | 355 | Utility filing fee | |
| 106 | 320 | 206 | 160 | Design filing fee | |
| 107 | 490 | 207 | 245 | Plant filing fee | |
| 108 | 710 | 208 | 355 | Reissue filing fee | |
| 114 | 150 | 214 | 75 | Provisional filing fee | |

Fee Paid

| |
|------------|
| <u>710</u> |
| |
| |
| |
| |

SUBTOTAL (1) (\$)710**2. EXTRA CLAIM FEES**

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|-------------------|----------------|-------------|
| <u>58</u> | -20** = <u>38</u> | <u>18</u> | <u>1084</u> |
| <u>16</u> | -3** = <u>13</u> | <u>80</u> | <u>1040</u> |
| Multiple Dependent | | | |

Large Entity Small Entity

Fee Fee Fee Fee Fee Description
Code (\$) Code (\$)

| | | | | | |
|-----|-----|-----|-----|--|--|
| 103 | 18 | 203 | 9 | Claims in excess of 20 | |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 | |
| 104 | 270 | 204 | 135 | Multiple dependent claim, if not paid | |
| 109 | 80 | 209 | 40 | ** Reissue independent claims over original patent | |
| 110 | 18 | 210 | 9 | ** Reissue claims in excess of 20 and over original patent | |

SUBTOTAL (2)

(\$)1724

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|--|----------|
| 105 130 | 205 65 | Surcharge - late filing fee or oath | |
| 127 50 | 227 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 130 | 139 130 | Non-English specification | |
| 147 2,520 | 147 2,520 | For filing a request for <i>ex parte</i> reexamination | |
| 112 920* | 112 920* | Requesting publication of SIR prior to Examiner action | |
| 113 1,840* | 113 1,840* | Requesting publication of SIR after Examiner action | |
| 115 110 | 215 55 | Extension for reply within first month | |
| 116 390 | 216 195 | Extension for reply within second month | |
| 117 890 | 217 445 | Extension for reply within third month | |
| 118 1,390 | 218 695 | Extension for reply within fourth month | |
| 128 1,890 | 228 945 | Extension for reply within fifth month | |
| 119 310 | 219 155 | Notice of Appeal | |
| 120 310 | 220 155 | Filing a brief in support of an appeal | |
| 121 270 | 221 135 | Request for oral hearing | |
| 138 1,510 | 138 1,510 | Petition to institute a public use proceeding | |
| 140 110 | 240 55 | Petition to revive - unavoidable | |
| 141 1,240 | 241 620 | Petition to revive - unintentional | |
| 142 1,240 | 242 620 | Utility issue fee (or reissue) | |
| 143 440 | 243 220 | Design issue fee | |
| 144 600 | 244 300 | Plant issue fee | |
| 122 130 | 122 130 | Petitions to the Commissioner | |
| 123 50 | 123 50 | Processing fee under 37 CFR 1.17(q) | |
| 126 180 | 126 180 | Submission of Information Disclosure Stmt | |
| 581 40 | 581 40 | Recording each patent assignment per property (times number of properties) | |
| 146 710 | 246 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 710 | 249 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 710 | 279 355 | Request for Continued Examination (RCE) | |
| 169 900 | 169 900 | Request for expedited examination of a design application | |
| Other fee (specify) _____ | | | |

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Name (Print/Type)

Allan J. SponsellerRegistration No.
(Attorney/Agent)38,318**Complete (if applicable)**

Telephone

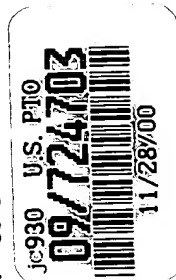
(509) 324-9250

Signature

Date

11/28/00**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Priority Application Serial No.09/040,813
 Priority Filing Date3/18/1998
 Inventor.....Misra et al.
 Applicant Microsoft Corporation
 Priority Group Art Unit.....2786
 Priority Examiner Elmore, R
 Attorney's Docket No.MS1-197USC1
 Title: System and Method for Software Licensing

REQUEST FOR CONTINUATION APPLICATION

UNDER 37 C.F.R. 1.53(b)

To: Commissioner of Patents and Trademarks,
Washington, D.C. 20231

From: Allan T. Sponseller(Tel. 509-324-9256; Fax 509-323-8979)
 Lee & Hayes, PLLC
 421 W. Riverside Avenue, Suite 500
 Spokane, WA 99201

This is a request for filing a Continuation application under 37 CFR §1.53(b) of Application Serial No. 09/040,813 which was filed 3/18/1998 and is entitled "System and Method for Software Licensing". The prior application was submitted in the names of Misra et al.. The original declaration showing their address(es) is also enclosed.

The prior application was a complete application as set forth in 37 CFR §1.51(a). The application papers being filed herewith are a true copy of the prior application as filed including the specification (with claims), drawings, oath or declaration showing the signature(s), and any amendments referred to in the oath or declaration to complete the prior application. No amendments referred to in the oath

1 or declaration filed to complete the prior application introduced new matter therein.
2 No abandonment or termination of proceeding has occurred in the above-identified
3 prior application.
4

5 The filing date of pending application Serial No. 09/040,813 is hereby
6 claimed under 35 U.S.C. §120.
7

8 A preliminary amendment is enclosed.
9

10 A Check is enclosed to pay fees under 37 CFR §1.16 and §1.17.
11

12 A previous assignment to Microsoft Corporation has been executed by the
13 inventors in the prior application, and is applicable to the present application. A
14 copy of the assignment is enclosed herewith.
15

16 A previous power of attorney in the prior application is given to Lewis C.
17 Lee, Reg. No. 34,656, Daniel L. Hayes, Reg. No. 34,618, Katie E. Sako, Reg. No.
18 32,628 and Daniel D. Crouse, Reg. No. 32,022. Please send correspondence to: LEE
19 & HAYES, PLLC, 421 W. Riverside Avenue, Suite 500, Spokane,
20 Washington, 99201. Direct telephone calls to: Allan T. Sponseller (509) 324-9256.
21

22 Respectfully Submitted,
23

24 Dated: 11/28/00

25 By: 

Allan T. Sponseller
Reg. No. 38,318

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No.Not Assigned Yet
Filing DateNovember 28, 2000
Inventor..... Pradyunma K. Misra et al.
Group Art UnitNot Assigned Yet
ExaminerNot Assigned Yet
Attorney's Docket No.MS1-197USC1
Title: System and Method for Software Licensing

REQUEST TO APPROVE DRAWING CHANGES

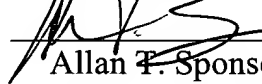
To: Commissioner of Patents and Trademarks,
Washington, D.C. 20231

From: Allan T. Sponseller (Tel. 509-324-9256; Fax 509-323-8979)
Lee & Hayes, PLLC
421 W. Riverside Ave., Suite 500
Spokane, WA 99201

Enclosed is a photocopy of Fig. 3 of the drawings with proposed changes indicated in red. Support for the changes to the drawings can be found, for example, in the disclosure at page 17, lines 9-13. Applicant respectfully requests that the proposed changes in Fig. 3 be approved by the Examiner.

Respectfully Submitted,

Date: 11/28/00

By: 
Allan T. Sponseller
Reg. No. 38,318
(509) 324-9256